

Agenda

Audit and Governance Committee

This meeting will be held on:

Date: **Wednesday 27 July 2022**

Time: **6.00 pm**

Place: **St Aldate's Room - Oxford Town Hall**

For further information please contact:

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Members of the public can attend to observe this meeting and.

- may register in advance to speak to the committee in accordance with the [committee's rules](#)
- may record all or part of the meeting in accordance with the Council's [protocol](#)

Information about speaking and recording is set out in the agenda and on the [website](#)

Please contact the Committee Services Officer to register to speak; to discuss recording the meeting; or with any other queries.

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All public papers are available from the calendar link to this meeting once published

Committee Membership

Councillors: Membership 7: Quorum 3: substitutes are permitted.

Councillor Tiago Corais

Councillor James Fry

Councillor Duncan Hall

Councillor Chris Jarvis

Councillor Dr Amar Latif

Councillor Chewe Munkonge

Councillor Roz Smith

Apologies and notification of substitutes received before the publication are shown under *Apologies for absence* in the agenda. Those sent after publication will be reported at the meeting. Substitutes for the Chair and Vice-chair do not take on these roles.

Agenda

	Pages
1 Election of Chair for 2022/23	
2 Election of Vice-Chair for 2022/23	
3 Apologies for absence and substitutions	
4 Declarations of Interest	
5 Minutes of the previous meeting	9 - 14
<p>To approve as a true and accurate record the minutes of the meeting held on 11 April 2022.</p>	
6 Investigation Team Annual Report 2021 - 2022	15 - 38
<p>Report of the Head of Financial Services</p> <p>Purpose of report: to appraise Members of the activity and performance of the Counter Fraud Team for the fiscal year 1 April 2021 to 31 March 2022.</p> <p>Recommendation: to discuss and note the report.</p>	
7 Risk Management Report: Quarter 1 30 June 2022	39 - 50
<p>Report of the Head of Financial Services</p> <p>Purpose of report: to update the Committee on both corporate and service risks as at 30 June 2022.</p> <p>Recommendation: that the Committee reviews the risk management report and notes its contents.</p>	
8 External Audit Planning Report 2021-22	51 - 98
<p>Report of the External Auditor EY</p> <p>Purpose of report: to set out for the Committee the draft audit planning report for the year ended 31 March 2022.</p> <p>Recommendation: to discuss and note the report.</p>	

9 Internal Audit Annual Report 2021-22

99 - 120

Report of the Internal Auditor BDO

Purpose of report: to inform the Committee on the details of the work undertaken by internal audit for Oxford City Council and provide an overview of the effectiveness of the controls in place for the full year.

Recommendation: to discuss and note the report

10 Internal Audit: Progress Report July 2022 - report to follow

Report of the Internal Auditor BDO

Purpose of report: to inform the Committee on progress made against the Internal Audit work plan and on the outcome of their reviews.

Recommendation: to discuss and note the report.

(Report to follow)

11 Internal Audit Follow Up Report 2022

121 -
130

Report of the Internal Auditor BDO

Purpose of report: to inform the Committee on the on the implementation of the recommendations from their previous internal audit reviews.

Recommendation: to discuss and note the report.

12 Matters exempt from publication and exclusion of the public

If the Committee wishes to exclude the press and the public from the meeting during consideration of any aspects of the preceding agenda items it will be necessary for the Committee to pass a resolution in accordance with the provisions of Section 100A(4) of the Local Government Act 1972 specifying the grounds on which their presence could involve the likely disclosure of exempt information as described in specific paragraphs of Part 1 of Schedule 12A of the Act if and so long, as in all the circumstances of the case, the public interest in maintaining the exemption outweighs the public interest in disclosing the information.

(The Access to Information Procedure Rules – Section 15 of the Council's Constitution – sets out the conditions under which the public can be excluded from meetings of the Council).

13	Private Rented Sector internal audit	131 - 152
	Report of the Internal Auditor BDO	
	Purpose of report: to inform the Committee of the internal audit report: Oxford City Council private rented sector, and recommendations thereof.	
	Recommendation: to discuss and note the report.	
14	Exempt Appendix 2: Internal Investigation	153 - 154
15	Dates and times of meetings	
	The Committee is scheduled to meet at 6.00pm in the Town Hall on the following dates:	
	28 September 2022	
	1 November 2022	
	18 January 2023	
	26 April 2023	

Information for those attending

Recording and reporting on meetings held in public

Members of public and press can record, or report in other ways, the parts of the meeting open to the public. You are not required to indicate in advance but it helps if you notify the Committee Services Officer prior to the meeting so that they can inform the Chair and direct you to the best place to record.

The Council asks those recording the meeting:

- To follow the protocol which can be found on the Council's [website](#)
- Not to disturb or disrupt the meeting
- Not to edit the recording in a way that could lead to misinterpretation of the proceedings. This includes not editing an image or views expressed in a way that may ridicule or show a lack of respect towards those being recorded.
- To avoid recording members of the public present, even inadvertently, unless they are addressing the meeting.

Please be aware that you may be recorded during your speech and any follow-up. If you are attending please be aware that recording may take place and that you may be inadvertently included in these.

The Chair of the meeting has absolute discretion to suspend or terminate any activities that in his or her opinion are disruptive.

Councillors declaring interests

General duty

You must declare any disclosable pecuniary interests when the meeting reaches the item on the agenda headed "Declarations of Interest" or as soon as it becomes apparent to you.

What is a disclosable pecuniary interest?

Disclosable pecuniary interests relate to your* employment; sponsorship (ie payment for expenses incurred by you in carrying out your duties as a councillor or towards your election expenses); contracts; land in the Council's area; licenses for land in the Council's area; corporate tenancies; and securities. These declarations must be recorded in each councillor's Register of Interests which is publicly available on the Council's website.

Declaring an interest

Where any matter disclosed in your Register of Interests is being considered at a meeting, you must declare that you have an interest. You should also disclose the nature as well as the existence of the interest. If you have a disclosable pecuniary interest, after having declared it at the meeting you must not participate in discussion or voting on the item and must withdraw from the meeting whilst the matter is discussed.

Members' Code of Conduct and public perception

Even if you do not have a disclosable pecuniary interest in a matter, the Members' Code of Conduct says that a member "must serve only the public interest and must never improperly confer an advantage or disadvantage on any person including yourself" and that "you must not place yourself in situations where your honesty and integrity may be questioned". The matter of interests must be viewed within the context of the Code as a whole and regard should continue to be paid to the perception of the public.

*Disclosable pecuniary interests that must be declared are not only those of the member her or himself but also those member's spouse, civil partner or person they are living with as husband or wife or as if they were civil partners.